IAP7 Rec'd PCT/PTO 23 JUN 2006

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Attorney Docket Number TRANSMITTAL LETTER TO THE UNITED 57070-8020.US00 **STATES** DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. Application No. (if known see 37 CFR §1.5) CONCERNING A FILING UNDER 35 U.S.C. §371 International Application No. International Filing Date Priority Date Claimed PCT/US2004/043249 24 December 2003 20 December 2004 TRIPTOLIDE 5,6-DERIVATIVES AS IMMUNOMODULATORS AND ANTICANCER AGENTS Applicant(s) for DO/EO/US Pharmagenesis, Inc. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information 1. \bowtie This is a FIRST submission of items concerning a filing under 35 U.S.C. §371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371. 2. This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest 4 claimed priority date. \boxtimes 5. A copy of the International Application as filed (35 U.S.C. §371(c)(2)). is transmitted herewith (required only if not transmitted by the International Bureau). \square h has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. §371(c)(2)). 6. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). Ø are transmitted herewith (required only if not transmitted by the International Bureau). X þ. have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned) 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5). Items 11. to 16. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR §1.97 and §1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included. 13. П A FIRST preliminary amendment. П A SECOND or SUBSTITUTE preliminary amendment. 14. A substitute specification. 15. A change of Power of Attorney and/or Address letter.

Other items or information:

П

16.

'IAP11 Réc'd PCT/PTO 23 JUN 2006

U.S. Application No. (if known, see 27 CFR §1.5)		International Application No. PCT/US2004/043249		Attorney's Docket No. 57070-8020.US00		
17. 🛭 T	7.				CALCULATIONS PTO USE	
BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5):				ONLY		
Basic National Stage Fee\$300.00						
National Stage Search Fee\$500.00						
National Stage Examination Fee						
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 months from the earliest claim priority date (37 CFR §1.492(e)).				\$ 00.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	30 - 20 =	10	10 × \$ 50.00	\$ 500.00		
Independent Claims	4 - 3 =	1	1.× \$200.00	\$ 200.00		
Multiple Dependent Claim(s) (if applicable) + \$360.00				\$ 00.00		
TOTAL OF ABOVE CALCULATIONS =				\$1700.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 850.00		
SUBTOTAL =				\$ 850.00		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR §1.942(f)). +				\$		
Fee for recording enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §3.28, §3.31) \$40.00 per property. +				\$ 00.00		
TOTAL FEES ENCLOSED =				\$ 850.00		
	·		Amount To Be:	refunded	\$	
Amount to Be:				charged	\$	
a. 🔲						
b. 🛛	Please charge Deposit Account No. 50-2207 in the amount of \$850 to cover the above fees.					
c.						
NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR						
137(a) or (b)) must be filed and granted to restore the application to pending status.						
$\mathcal{N} = \mathcal{N}$						
SEND ALL CORRESPONDENCE TO:				Signature		
Perkins Coie LLP Brian			S. Boyer			
<u> </u>			ration No. 52,643			
Menlo Park, CA 94026						
Custo	Customer No. 22918					

10/584114

Attorney Docket No. 57070-8020.US00

AP3 Rec'd PCT/PTO 2 3 JUN 2008

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE (EQ/US)

IN RE APPLICATION OF: DAI et al.

APPLICATION No.: Not Yet Assigned

PCT FILING DATE: December 20, 2004

PRIORITY DATE: DECEMBER 24, 2003

TITLE:

TRIPTOLIDE 5,6-DERIVATIVES AS IMMUNOMODULATORS

AND ANTICANCER AGENTS

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Attn: DO/EO/US

Sir:

Express Mail Label No: EV 325 822 685 US

Date of Deposit: _

I hereby certify that the attached filing: application transmittal under 35 U.S.C. §371, a Declaration of the Inventors under 35 U.S.C. §371 (unsigned), and a check for fees due, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Mail Stop PCT, P. O. Box 1450, Alexandria, VA

22313-1450, Attention: DO/EO/US.

Respectfully submitted, Perkins Coie LLP

Date: 6-23-06

witted Name: Lynnea B. Kennel

Correspondence Address: Customer No. 22918 650 838-4300